



**EXHIBIT JJ TO THE JUNE 26, 2008  
DECLARATION OF GREGORY I. RASIN, ESQ.**

## Candidate File

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Curtis, Brenda M (62673) 

Application 050000012M - Assistant Compliance Officer

Step Reviewed Medium Online Recruiter A. Valerio  
 Status Rejected (select motive below)  Source Standard & Poors Careers Hiring Manager R. BOLGER  
 Application Date 2005/08/05

## General Information

## Prescreening

Disqualification Questions for: Assistant Compliance Officer

Questions Result  
 There are no job-specific questions to display.

Skills for: Assistant Compliance Officer

Skills	Proficiency	Experience	Last Used	Interest	Required	Asset	Result
1. Company legal department	Intermediate	1-3 years	3-5 years ago	High	Met		0 / 0
2. Manage regulatory compliance	Advanced	1-3 years	Last year	High	Met		0 / 0
3. Develop compliance strategies	Intermediate	1-3 years	Last year	High	Met		0 / 0

Questions for: Assistant Compliance Officer

Questions Required Asset Result

1. Please indicate your highest level of education.

Type: Single Answer

Answer | Possible Answers

<input checked="" type="radio"/> High School Diploma/GED		0 / 0
<input type="radio"/> Associates Degree		0 / 0
<input type="radio"/> Bachelor's Degree	Not Met	0 / 0
<input type="radio"/> Master's Degree		0 / 0
<input type="radio"/> Doctorate		0 / 0
<input type="radio"/> None of the above		0 / 0
Result for Question:		0 / 0

2. EDUCATION: If a degree has been completed, select area of study.

Type: Single Answer

Answer | Possible Answers

<input type="radio"/> Accounting		0 / 0
<input type="radio"/> Business		0 / 0
<input type="radio"/> Computer Science		0 / 0
<input type="radio"/> Economics		0 / 0
<input type="radio"/> Engineering		0 / 0
<input checked="" type="radio"/> Other	Met	0 / 0
<input type="radio"/> None of the above		0 / 0
Result for Question:		0 / 0

3. What is your level of proficiency in Auditing?

Type: Single Answer

Answer | Possible Answers

<input type="radio"/> None		0 / 0
<input type="radio"/> Novice		0 / 0
<input type="radio"/> Intermediate		0 / 0
<input type="radio"/> Proficient		0 / 0
<input checked="" type="radio"/> Expert	Met	0 / 0
<input type="radio"/> Guru		0 / 0
Result for Question:		0 / 0

<https://mh.recruitsoft.com/servlets/art.product.recruiterwebtop.MainOperatorServlet>

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4. How many years experience do you have working in the financial markets?

Type: Single Answer

Answer | Possible Answers

None		0 / 0
Less than 1 year		0 / 0
1-2 years	Not Met	0 / 0
3-6 years	Not Met	0 / 0
7-10 years		0 / 0
▶ 10+ years		0 / 0

Result for Question:

0 / 0

5. Which of the following job related skills do you have experience in? Select all that apply.

Type: Multiple Answers

Answer | Possible Answers

▶ Multi tasking	Met	0 / 0
▶ Analytical	Met	0 / 0
▶ Problem solving	Met	0 / 0
▶ Planning	Met	0 / 0
▶ Time management	Met	0 / 0
▶ Calendar management		0 / 0

Result for Question:

0 / 0

6. Please describe your level of proficiency in database management. (Single Answer)

Type: Single Answer

Answer | Possible Answers

Novice		0 / 0
Intermediate		0 / 0
Proficient		0 / 0
▶ Expert	Met	0 / 0
Guru		0 / 0
None of the above		0 / 0

Result for Question:

0 / 0

7. Please indicate your highest level of education.

Type: Single Answer

Answer | Possible Answers

▶ HS Diploma		0 / 0
Associates Degree		0 / 0
Bachelor's Degree		0 / 0
Master's Degree		0 / 0
Doctorate		0 / 0
None of the above		0 / 0

Result for Question:

0 / 0

Required Asset Result

Total for Skills and Questions: 11/13 0/0 0%

## Profile

Information provided by the candidate on November 20, 2005.

Application Medium

<https://mh.recruitsoft.com/servlets/art.product.recruiterwebtop.MainOperatorServlet>

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D01019

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How did we learn about this candidate? 

## Source Tracking

## Source Type

## Source

## Event

Check here if you would like to receive notifications by email on career opportunities matching this profile. 

## Basic Profile

## Job

## Location

## Organization

## Job Level

## Schedule

## Education

## Employee Status

## Shift

## Advance Notice

## Job Type

## Min. Ann. Salary

## Travel

## Date of Availability

## Profiler Questionnaire

## Disqualification Questions

## Questions

No questions are associated to the general profile of this candidate.

Result

## Skills

## Skills

No skills are associated to the general profile of this candidate.

Proficiency

Experience

Last Used

Interest

## Questions

## Questions

1. I confirm that my answers to questions in this on-line submission are complete and accurate and that The McGraw-Hill Companies may rely on my answers. Permission is granted to The McGraw-Hill Companies to verify all statements in this employment application. I understand that an offer of employment is contingent upon the completion of satisfactory reference and background checks, including from my present employer, but that my present employer will ordinarily not be contacted without my permission until after I accept an offer of employment with The McGraw-Hill Companies.

Type: Single Answer

Answer | Possible Answers

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2. Have you ever been convicted of a crime?

Type: Single Answer

Answer | Possible Answers

Yes

No

3. Your employment is contingent upon your ability to demonstrate to The McGraw-Hill Companies satisfaction that any restrictions that may be imposed by agreements with prior employers or otherwise have been waived, have expired, or otherwise do not interfere with your ability to work for The McGraw-Hill Companies.

Are you at present bound by a non-competition or non-disclosure agreement or any other comparable agreement or understanding with any prior employer?

Type: Single Answer

Answer | Possible Answers

Yes

No

4. Have you ever been employed by The McGraw-Hill Companies?

Type: Single Answer

Answer | Possible Answers

Yes

No

5. If you have been employed by The McGraw-Hill Companies, please provide the following information:

-locations and dates of employment

-department and/or business unit

-last job title

-last supervisor's name

Type: Text Answer

Answer

6. Are you a sales employee?

Type: Single Answer

Answer | Possible Answers

Yes

No

7. Have you previously interviewed for employment at The McGraw-Hill Companies?

Type: Single Answer

Answer | Possible Answers

Yes

No

8. Can you, within three days of employment, submit verification of both your identity and your authorization to work in the U.S. pursuant to the U.S. Immigration Reform and Control Act of 1986?

Type: Single Answer

Answer | Possible Answers

▶ Yes

No

9. If you are in VISA status, are you:

Type: Single Answer

Answer | Possible Answers

F-1

J-1

H-1B

Other VISA status

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▶ Not applicable

## Resume

## Candidate Personal Information

Curtis, Brenda M (62673)

Employee Number

710794767

Social Security Number

Not Specified

Date of Birth

Not Specified

Address

1020 Elton Street  
Apt. B  
Brooklyn, New York  
United States, 11208

Home Phone Number

718-272-2324

Cellular Number

917-803-1166

Work Phone Number

Not Specified

Pager Number

Not Specified

Region

US&gt;NY&gt;New York

Email Address

b\_serious@att.net

Fax Number

Not Specified

Web Page Address

Not Specified

Is this candidate a "top" candidate?

Not Specified

1st round interview status (ADP/MBA)

Not Specified

Current or Last Annual Base Salary

73200

## Attached Files

No Information Available

## Education

No Information available

## Work Experience

No Information available

## Additional Information

No Information available

## Posted Cover Letter

No Information available

## Posted Resume

BRENDA M. CURTIS  
1020 Elton Street, #B  
Brooklyn, NY 11208  
Home: 718-272-2324  
Cell: 917-803-1166  
Email: b\_serious@att.net

**SUMMARY:** A flexible, competent individual with experience in meeting complex requirements in sales, production, finance and administrative functions. Excellent performance in positions that have limited or no supervision. A motivated team player who enjoys the challenges of solving difficult problems and improving efficiency.

**EXPERIENCE:**

5/02 – 10/05 Standard & Poor's, New York City  
Securities Services  
Office Manager/Assistant to EMD

Office administration duties include coordinating coverage and attendance of both administrative and executive staff; maintaining global and domestic electronic distribution lists for over 400 people; maintaining organization charts; expense reporting; constructing and disseminating the monthly activity report; scheduling all meetings and conferences; assisting with performance evaluation process and business-specific Code of Ethics compliance. Issues/relationships with building services/management. Monitor and evaluate all office operating procedures and processes. Maintain and oversee all

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vendor relationships. Prepare purchase orders, review and approve all invoices. Supervise administrative staff. Oversee maintenance of all equipment. Coordinate activities of the department. Direct all internal moves & logistics. Corporate events and mass-mailings.  
 Manage receptionist, reception area, copy/mail room and conference rooms. Set procedures, ensured compliance to procedures and adequate coverage at all times.  
 Created and delivered training program for support staff on ordering supplies through Corporate Express and creating expense reports using Captura.  
 Implemented automation of conference room scheduling.

10/01 — 5/02 Manpower, New York City  
 Various Temporary Assignments

2/00 — 10/01 Leafstone Staffing, New York City  
 Worked in a variety of different industries including corporate legal at Simpson, Thatcher & Bartlett and White & Case.

11/98 — 4/99 American Express Bank, New York City  
 Global Trading  
 Executive Assistant

Heavy calendar management/travel arrangements.  
 Ordered supplies, typed correspondence, and heavy mail merging.  
 Maintained department lists and collected company org charts.  
 Extensive usage of PowerPoint, Excel, Publisher & Intranet/Internet.  
 Placed noteworthy information on their Intranet site.

6/98 — 10/98 Bankers Trust Company, New York City  
 Private Banking  
 Executive Assistant to Managing Director

Maintained a client database of over 4,000 records for various mailings.  
 Composed correspondence, worked on special design projects and input travel and entertainment expenses into customized Excel expense report.  
 Used Lexis-Nexis and the Web to research information on prospective clients.

11/94 — 3/98 Citibank, NA, New York City  
 Global Corporate Finance, Operations & Technology  
 Administrative Supervisor to the Division Executive (equivalent to President)

Implemented work guidelines for administrative & temporary support staff.  
 Enhanced department's organizational and operating efficiency by designing an effective library/filing system;  
 Implemented New Control Procedures. Improved expense reporting, tracking and vendor payment process.  
 Designed, distributed and displayed monthly newsletter.

8/90 — 7/94 United Nations, New York City  
 Worked in various organizations throughout the United Nations

4/88-9/89 (Temp) McGraw Hill, New York City  
 10/89 — 8/90 (Perm) Aviation Week & Space Technology  
 Office Manager

Organized, updated and scheduled the Associate Publisher's Itinerary and special Aviation Week hosted events.  
 Served as the liaison for the Aviation Week advertising sales district managers.  
 Consistently met last minute sales and administrative demands dictated by high tempo of a weekly publication.  
 Acted as business manager; prepared and updated territory estimates, balanced estimates vs. budget and verified and processed all sales expense reports.  
 Office Manager duties included processing and following up on invoices, time reports, personnel documents, and correspondence.

12/86 — 2/88 Drexel Burnham Lambert, New York City  
 Information Technology  
 Applications Instructor

Trained employees on DisplayWrite III, MultiMate, WordPerfect and IBM 5520.  
 Designed reports on Dbase III plus and Lotus WYSIWYG.  
 Designed and updated training manuals.

1/79 — 12/86 World Composition Services, New York City  
 Office Manager

Assisted President of this large typesetting company. Oversaw typesetting area, ordered supplies, furniture and worked closely with building management on layout and design of office space. Kept confidential employee information.  
 Supervised 1 receptionist and 7 messengers. Dispatched messengers for job pickups.  
 Worked closely with the Art & Design Department on special projects. Assisted Production Department with layout of typeset material.  
 Assisted accounting with payroll and processing of time sheets. Processed invoices for payment and posted in General Ledger.

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**EDUCATION:** New York City Technical College  
Brooklyn, New York

**SKILLS:** Highly proficient in MS Word, Excel, PowerPoint, Access, Outlook, Outlook Express, Lotus Notes, Lotus OpenMail, Quicken & QuickBooks.

**Desktop publishing:** MS Publisher, Quark Express & FrontPage.  
**Internet savvy.**

**REFERENCES:** Furnished upon request

## Regulations

## U.S. Equal Employment Opportunity / Affirmative Action Information

The information already provided has been hidden for confidentiality reasons.

- Information provided by recruiters appears as "Not Specified" for confidentiality reasons but can be modified if necessary.
- Information provided by the candidate cannot be modified by recruiters.

## Race/Ethnic Identification

The information under this heading has not been provided.

## Gender

The information under this heading has been provided by the candidate

## Vietnam Era Veterans and Other Veterans

The information under this heading has been provided by the candidate

## Special Disabled Veterans

The information under this heading has been provided by the candidate

## Persons with Disabilities

Do you consider yourself a person with a disability?

The information under this heading has not been provided.

## Referrer

Chandler, Mona-Lisa

Work Phone

212 438 7555

Employee Number

710725515

Address

Home Phone

Social Security Number

Not Specified

Not Specified

Email Address

mona-lisa\_chandler@sandp.com

## Tracking

Date & Time	Events	Details	Comments	By
2005/12/12 1:40 PM	Status changed to Rejected (select motive below) in step Reviewed	Requisition has been filled		System
2005/11/23 12:46 PM	Candidate shared	The information on this candidate has been shared with: william_demarco@standardandpoors.com	Dear Bill, Here is the resume of Brenda Curtis who is Internal. Please let me know your thoughts. My initial thoughts are she is not qualified, but still want your thoughts. Thanks, Ann Marie	Ann Marie Valerio
2005/11/23 12:41 PM	Moved to step Reviewed			Ann Marie Valerio
2005/11/23 12:41 PM	Status changed to Shared with Manager in step Reviewed			Ann Marie Valerio
2005/11/20 8:19 PM	Application updated	McGraw-Hill External (USA and Canada) (External)		Candidate or agent
2005/11/20 8:19 PM	Pasted resume - Updated	Pasted resume before change		Candidate or agent



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Candidate  
or agent  
SystemCandidate  
or agent

2005/11/20 8:18 PM	Pasted resume - Updated	Pasted resume before change
2005/11/20 8:02 PM	Correspondence sent	Application Acknowledgement, Job-Specific - Assistant Compliance Officer-050000012M at STANDARD & POOR'S McGraw-Hill Internal (Intranet)
2005/08/05 12:31 PM	Applied online	Application is incomplete